OCA’S SOUND NEW POLICY ON CRIMINAL HISTORY DISCLOSURE

By Barry Kamins, President

During the summer months, while many in the legal community were enjoying a well-earned vacation, the Office of Court Administration made a bold policy decision that will positively affect hundreds of thousands of New Yorkers each year. What is most heartening is that the OCA did not have to make this change in policy, but chose to do so because it felt this was the fairest course of action to take. For that, OCA must be commended.

The decision it made relates to a service provided by the OCA since the early 1980’s. For a $52 fee, anyone can obtain the criminal history of an individual within New York State. It has been a common practice for prospective employers to submit the names of applicants to OCA. Approximately 80% of large or medium-sized employers routinely do background checks in order to make a responsible decision before they hire an individual. OCA conducts an electronic search of New York court records and discloses all prior convictions including felonies, misdemeanors, violations, and infractions.

Earlier this year, a lawsuit was filed against OCA by an 18 year-old who had applied for a job as a cashier with Sears Roebuck. The youth alleged that Sears told him that he was denied employment because OCA’s criminal history report revealed that the youth had pleaded guilty to disorderly conduct, a violation. The disclosure of the violation raises a point often overlooked by attorneys and their clients.

When a defendant in a criminal prosecution is acquitted or has his or her case dismissed, the defendant is entitled, as a matter of right, to have the case sealed pursuant to the Criminal Procedure Law. The case is sealed in the files of the police, the prosecutor and the courts. Thus, no information about it can be revealed to the public from any source.

However, when a defendant is convicted of a violation, a non-criminal offense, the defendant is also entitled to have the record sealed but the sealing is not as complete. While the files of the police and prosecutor are sealed, the court files are not. This distinction, made by the legislature in 1980, has often been overlooked by attorneys who inform their clients that their violation conviction will be sealed and that no one will ever find about it. Unfortunately, since the court records are not sealed, anyone, including prospective employers, can and do find out about

CONTINUED ON PAGE 2

BARBARA BERGER OPOTOWSKY: CELEBRATING 10 YEARS AS EXECUTIVE DIRECTOR

In 1997 Barbara Berger Opotowsky was no stranger to the City Bar Association, as she had already chaired Committees on Women in the Profession and Consumer Affairs. But October 1997 marks the time that she left her position as President of the Better Business Bureau to take over the helm as Executive Director of the City Bar.

The Editors of the 44th Street Notes thought that Barbara’s ten year anniversary as Executive Director was the ideal opportunity to celebrate the changes that have taken place during her tenure. We asked those who are closest to her and who work with her every day to give us their insight on Barbara’s accomplishments and what it is that makes her tick.

We started by asking the staff for some of their favorite City Bar memories from the last ten years. One image permanently etched in many of our minds is the line of 800 attorneys stretching all the way down the street on September 26, 2001. We had less than 48 hours to recruit and train volunteers to help the 9/11 families with legal issues including processing death certificates. Under Barbara’s leadership, not only were we able to train all of those attorneys to help survivors of the September 11th attacks, but through the process we were able to interest them in pro bono work.

The Annual Ruth Bader Ginsburg Distinguished Lecture on Women and the Law, established in 2000,

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A publication of The Association of the Bar of the City of New York
was also a popular favorite. "This lecture has become a premier event on the City Bar’s calendar and is always sold out. We have law students sitting next to general counsels of Fortune 500 companies all hearing a message of opportunity," says Jayne Bigelsen, Director of Communications and Public Affairs.

Martha Harris, Director of Committee Services, gave her vote to the numerous career development, quality of life and diversity leadership panels and programs now regularly held at the Association. "Barbara has devoted her time and talent to making the City Bar a place that both provides practical help and lends its reputation and resources to greater awareness of the need to promote women and minorities to leadership positions in the profession."

Barbara’s tenure has also had a great influence on the financial stability of the City Bar. According to our Chief Financial Officer, Carol Rosenbaum, “The last ten years have been strong ones for the New York City Bar. Income has grown from $10,000,000 a year to over $15,000,000 and there have been consistent surpluses.” Membership has also been positively impacted under Barbara’s leadership, growing from 21,000 to 23,000.

With all that has been done in the last ten years, how has Barbara accomplished it? According to Bigelsen, "Barbara’s skill in getting things done is a two pronged attack. First, her energy is contagious. Upon meeting Barbara, people sense her exuberance and are inspired to try and match it. But equally as important – and rarer – is the follow-through and drive behind her enthusiasm that turns good ideas from wishful thinking into concrete, successful programs.”

Added Barbara’s assistant, Rebecca Nelson, “Besides being so dynamic, it also helps that Barbara has this great ability to mentor. She is perfectly content to stay behind the scenes, and push the staff to shine and grow so we will have success.” According to Alan Rothstein, Association General Counsel, “The stability and coherence of the staff and the long-term loyalty of the senior staff is a tribute to Barbara’s management skills and personality.”

In addition to the insights of her colleagues, it is also important to hear from Barbara in her own words to fully understand the depth of her dedication to the City Bar.

You were already very familiar with the City Bar as an active member and committee chair when you started as Executive Director. Was there anything about the City Bar or the Executive Director role that has surprised you in the last ten years?

I already knew about the high caliber and intellectual rigor of the City Bar’s work from my committee experience, but I was awed by the breadth and scope of our issues and reach. From Corporate and Securities Law to Civil Rights, it is just amazing that one organization can be looked to as a leader on such a variety of issues. And, I had no sense of the extraordinary role the City Bar plays internationally.

One of the things I truly didn’t expect was to be involved in charting the City Bar’s course in this political climate. Those of us who came of age in the 60’s expected that the years would constitute a progression of increasing rights. We never expected to be fighting to preserve rights or values that we felt were already established. I certainly didn’t expect to be moving toward a time when the US government would think it was acceptable to hold people incomunicado for years, with no chance at a fair trial, and never told the charges against them simply because the Executive alone labels them an enemy combatant. This climate has made the City Bar necessary in ways I hadn’t imagined.

In fact, the disappointment I feel in our federal government’s attack on the rule of law is only rivaled by my pride in the City Bar’s response. From the Justice Center’s representation of Muslim men singled out for special registration immediately after 9/11 to our statement a few months ago which was picked up by over 250 newspapers worldwide that condemned the Justice Department’s proposal to limit Guantanamo detainees’ access to counsel, we have had the opportunity to take the lead in protecting the rule of law more than we would have in better times.

How you think the City Bar has changed during your tenure? What do you see as the biggest changes/differences in the City Bar since you started ten years ago?

What is most interesting is that despite significant growth and many new or enhanced programs, the core of the New York City Bar remains constant. Our commitment to our founding values of upholding the rule of law and being an advocate for justice informs all that we do and is as true today as it was in the days of Tammany Hall.

But there have clearly been many changes. In terms of serving the public, the City Bar Justice Center has grown from a few programs to a dozen and from a budget of four hundred thousand dollars to over three million. The Vance Center has added an international dimension to our access to justice initiatives.
Most important, the pro bono lawyers that work with our staff are able to help over 30,000 people a year.

We can now better serve the profession through the Lawyers Assistance Program which helps people with alcohol, drug or other problems. Since its creation in 1999, over 10,000 people have called the program.

The profession is also well served by the Office for Diversity. I am delighted at the level of influence that office has had in its only three years of existence. We are now in the third year of our quantitative benchmarking survey of law firms and corporations that are signatories to the diversity goals we adopted in 2003. We conduct regular working sessions to exchange ideas and best practices and have established a diversity award for those individuals who are laying the groundwork for real change.

We should all be very proud of the City Bar’s CLE program. The program was started with a few programs in 1996. Today, the collaboration of our committees and CLE staff result in over 150 programs a year that attract an amazing faculty. And, the Small Law Firm Center provides valuable service to a segment of the profession that is often overlooked.

Long-standing programs have also grown with the times. Our incomparable Legal Referral Service provides information and referrals to over 100,000 people a year – and now that service is available online as well as by phone. Our unparalleled library also reflects the changing times and now has computers throughout with access to many online services at no expense to our members.

We have already asked many people in the building what their favorite Association memories are. What are you most proud of in working at the Association?

The staff and our committee members. I am fortunate to be able to come here every morning knowing that I will be working with men and women who love what they do and are dedicated to the City Bar’s mission. Two thirds of our department heads have been here for almost a decade or more. It is also wonderful to work with our committee members and chairs who are leaders in their fields and who find the time in their already over extended day to work for the good of the legal profession and the public.

And of course, there are the City Bar’s presidents. It is an honor to work with people who are not only some of the profession’s top legal minds, but who are also steadfast in their commitment to increasing access to justice for New Yorkers and improving the legal profession as a whole. Though their personalities and priorities have differed, they have all shared an unflinching dedication to this City Bar Association and have contributed to long lasting change, of which we should all be proud.

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The New York City Bar and the City Bar Justice Center would like to thank Barbara for a wonderful ten years of leadership, and we look forward to all that can be accomplished in the years to come.

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**FOURTH ANNUAL DIVERSITY CONFERENCE: GETTING SERIOUS ABOUT RACE**

The Office for Diversity is eagerly anticipating the upcoming Fourth Annual Diversity Conference: Getting Serious about Race, which will be held at the House of the Association on Monday, October 29, 2007 from 8:30am – 2:00pm. The Office and the Enhance Diversity in The Profession Committee designed this conference around race and ethnicity because the 2006 Diversity Benchmarking Report revealed the City’s legal employers continue to lose ground with respect to ethnicity and race. At the same time, great strides have been made with other populations. For instance, the Benchmarking Report highlighted the fact that although the percentage of new women partner promotions represented 29% in 2006 vs. 20.3% in 2004, new partner promotions for ethnic minorities are essentially flat, representing only 7.4% in 2006 vs. 7.0 in 2004. On the other hand, the proportion of minority lateral partner hires actually decreased from the already low 5.5% to 3.2%.

Fortunately, there are a few important bright spots that the conference speakers will shed some light on. Signatory corporate law departments continue to make progress toward diversity and inclusion. Notably, 15% of in-house lawyers are racial/ethnic minorities and 44% are women. With respect to general counsels, 18.8% are minority, though only 6.4% of the Fortune 500 companies have minority general counsels. These statistics are exceedingly helpful and the Conference will provide a forum to discuss them and, more importantly, how to continually improve them.

In addition to a dynamic presentation by Steve Young on Microinequities and a Keynote Address by Dr. Charles Ogletree of Harvard Law School, an important Conference feature will be an open discussion from minority partners on what it takes to become partner and suggestions to aspirants and firms on how to keep minority associates on track.

Mark your calendars for the City Bar’s Fourth Annual Diversity Conference. To register, please go to the events calendar on www.nycbar.org.

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**DUES NOTICE**

Pursuant to By-law XI, the Executive Committee is authorized to determine dues classification and levels upon notice to the membership. The Executive Committee has approved an increase of $10 in annual membership dues of Classes A and AA Resident and Suburban AA members and $5 increase for Suburban A members. This resolution is to take effect for the November, 2007 dues billing. The dues of the City Bar have not increased since 2004. The proposed dues increase is 2-3% for AA and A resident and Suburban Members, which is significantly less than the nearly 10% raise in inflation over the same period of time.
these minor matters. Employees have been denied employment based on prior convictions for the most minor offenses.

Before the court system records were digitized, anyone seeking information about a person's criminal history had to check the court records in a particular county to see if there was a conviction in that county. In order to completely review a criminal history in New York State, one had to examine the court records in each of the 62 counties. However, after the digitization of the court system's records, one only needed to pay a $52 fee to obtain a statewide history.

In the lawsuit brought against OCA, it was alleged that there was a distinction between court records in the individual courts and the OCA criminal history which is prepared based on an electronic search of those records. OCA responded that the lawsuit had no merit and a strong argument can be made that if OCA had continued to defend the lawsuit, it would have prevailed.

However, an interesting development occurred. Although OCA felt it could have prevailed on the merits of their case, it decided to settle the lawsuit by making a policy decision not to release information about non-criminal convictions as part of its criminal history search. It made the decision because the dissemination of this information has resulted in an unfair disadvantage to individuals who have been convicted of non-criminal offenses. Thus, while an employer can still obtain information about a non-criminal conviction in any of the individual 62 county courthouses, that information will no longer be found in OCA's statewide criminal history report. OCA's decision became even more significant when Governor Spitzer later signed a bill, effective November 1, 2007, that precludes employers from asking employees or prospective employees about prior non-criminal convictions.

The City Bar Association has joined with many others in recent years to alleviate the civil consequences of criminal convictions. We applaud OCA's decision not to release information about minor non-criminal matters that may prevent individuals from obtaining employment. Last year, over 130,000 New Yorkers were convicted of only a violation. By restricting information about these minor infractions, OCA has given many of these individuals an opportunity for employment that they did not have before.

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**OCA's SOUND NEW POLICY ON CRIMINAL HISTORY DISCLOSURE... CONTINUED FROM PAGE 1**

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**Save the Date**

**City Bar Reception for Young Lawyers**

November 6, 2007 at 6:00 p.m.

Come join us for the Annual Young Lawyer’s cocktail reception. Meet and network with fellow young lawyers. Space is limited.

Please RSVP to YLCRECEPTION@NYCBAR.org.

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THE CONTESTED DIVORCE PROJECT

The City Bar Justice Center’s Contested Divorce Project is one of the few organizations in New York that accepts referrals for financially eligible clients city-wide who are embroiled in litigated matrimonial cases but do not fall into a special category, such as domestic violence victims.

The Project holds clinics every other Monday night from 6 to 8 PM. Clients are screened through the Project staff. While direct representation is not provided, experienced matrimonial practitioners and staff offer clients advice and counsel and assistance with drafting pleadings and other documents necessary for them to preserve their rights. They are given detailed instructions on service, filing and preparing for court appearances, and on how to conduct themselves before the judge.

Usually, clients come to the Clinic for a single session for assistance on a discrete issue. However, there are situations where clients attend the Clinic on an ongoing basis until their case is finally concluded. Ms. S., exemplifies the entire range of services which the Clinic provides.

When her husband of six years filed for divorce, Ms. S. was understandably upset and worried and became particularly concerned when her husband told her “You’re not getting a dime from me,” and that since they weren’t married for ten years she was entitled to nothing.

As a part-time waitress, earning less than $15,000 a year, she could not afford a lawyer and had few places to turn to help. Fortunately she found the City Bar Justice Center’s Contested Divorce Project, where volunteers immediately debunked her husband’s assertions and made sure she was aware of her rights.

Through what she had learned at the Clinic, Ms. S. was able to file the initial paper work and was given detailed information on what to expect at the Preliminary Conference. At issue in this case was the amount and duration of spousal maintenance Ms. S. should receive. The Clinic volunteer guided Ms. S. on her negotiation strategy to best achieve her goal and advised Ms. S. regarding realistic and reasonable expectations for her outcome, taking into consideration the length of her marriage and other factors that would be considered by the court.

Ms. S. successfully negotiated a favorable settlement of $600 per month in maintenance for 24 months and joyfully notified us that she had been granted a divorce on her terms. She gratefully acknowledged the Clinic’s assistance and was happy to be moving on with her life.

Unfortunately, Ms. S.’s journey through divorce court continued. Her spouse failed to timely file the final papers as directed by the court, making it necessary for Ms. S. to obtain the Court’s permission to do so. The court granted this application, and the Clinic helped her complete the final divorce papers.

However, Ms. S. could not afford the $300 required to obtain the transcript of the proceedings, a necessary element for the final papers. Clinic volunteers advised Ms. S. to request that her spouse make this payment, which was granted. When her spouse again failed to comply with the court’s directive, Ms. S. knew where to go. She went back to the Clinic, this time for assistance with a motion to compel her spouse to comply. This motion was granted, and Ms. S. was able to obtain the transcripts and submit her final papers. Her divorce was finally granted. Ms. S. was thrilled and expressed her gratitude to the Clinic and its volunteers. However, Ms. S.’s involvement with the Clinic did not end here.

Given the obstacles Ms. S. encountered, along the way due to her uncooperative spouse, it is not surprising that he consistently failed to pay the maintenance on time, to the point that Ms. S. fell behind on her rent and was in danger of being evicted. With the Clinic’s help Ms. S. prepared a motion for enforcement and for an income deduction order, whereby her spouse’s salary would be garnished and her spouse’s employer would make payments directly to Ms. S. This motion was granted. Ms. S. received a decision from the Court, and informed the Clinic that she is now getting regular and timely payments.

Without the Clinic, Ms. S. would not have been able to navigate the legal system to achieve these results because she could not afford legal counsel. In all likelihood, she might have given up and succumbed to her spouse’s manipulation. Without an enforceable judgment, and assistance with enforce-

ment, she may have faced eviction and other grave financial consequences.

The gratitude expressed by clients like Ms. S. is genuine and heartwarming. One recent client, Ms. C., said that since she is unable financially to make a contribution, she would like to volunteer her time to the City Bar Justice Center to express her appreciation. Others send cards and blessings, communicating their thanks for assistance they never thought they would be able to receive.

And, it is not only the clients who benefit from by participating at the Clinic. The volunteers themselves benefit as well. Elizabeth Berhardt, a recent volunteer, commented about her experience:

“It was a very good thing to be assisting people who would really have no access to the law or the courts otherwise. It was striking that the [clients] I counseled simply would not be able to get divorces and whatever asset or support they were entitled to because they could not afford to pay an attorney. It really was and is a privilege to be part of your program.”

Experienced matrimonial practitioners interested in participating in the Contested Divorce Clinic may contact Sarah Loeffler at 212-382-4759 or sloeffler@nycbar.org.

Typical services provided include: assistance with an answer and counterclaim after a client has been served with divorce papers; assistance with preparation of a Request for a Preliminary Conference and preparation for the appearance; review of proposed stipulations of settlement; assistance with requests for pendente lite relief; preparation of enforcement motions post-judgment when the opposing party fails to comply; and preparation of motions to vacate default judgments when clients were not served properly.
The New York Times and is required to

The Coming Collapse of China
Legal Affairs

2 Tuesday, 5:30 - 8 pm
MEDIATION SETTLEMENT DAY 2007 — OPEN HOUSE AND KICK-OFF PROGRAM

The Mediation Settlement Day events are designed to further understanding of the mediation process and advance its use in New York. The event is co-sponsored by a coalition of organizations throughout the state, and some out-of-state and international organizations. The event is designed especially to attract corporate consumers and other potential parties who could benefit from the many advantages of mediation, including the significant cost and time savings, maintaining positive business relationships and having the opportunity to voluntarily create tailor-made solutions to their unique concerns.

An Open House will be held from 5:30 – 7 p.m., at which representatives of the participating sponsors will provide information about the mediation programs and the events that are planned for Mediation Settlement Day, October 18th 2007.

Introduction: HON. JUDITH S. KAYE Chief Judge, New York Court of Appeals

Keynote Speaker: HON. MILTON MOLLEN Counsel to Herrick, Feinstein LLP; Former Presiding Justice of the New York State Supreme Court, Appellate Division, Second Department

For more information, please contact Daniel Weitz, dweitz@courts.state.ny.us

Registration by

EMILY CAMPBELL Luncheon Chair:
GORDON G. CHANG Author, The Coming Collapse of China TOPIC: Is China Rising or Falling? And What Its Course Means for Us Luncheon Chair:

FRANCIS J. RENÉ President, The New York Times Company Luncheon Chair:

OCTOBER 2007 CALENDAR
Unless otherwise noted, programs are free of charge; open to all members, their guests and the general public; and held at the House of the Association. Program information subject to change. Please check our website at www.nycbar.org for the latest program information.

1 Monday, 6 – 8 pm
CAREERS IN JOURNALISM
Hear from lawyers who built successful careers as journalists in newspaper, magazine, radio, television and internet reporting. Topics include: how to get started in the field of journalism, the impact of legal reporting on public debate and the political agenda, the sweeping changes affecting the media, the impact of internet news on traditional journalism, and why lawyers make great reporters.

Moderator: ELAINE MCDARLE Freelance Journalist

Speakers:
LINCOLN CAPLAN Former President, Legal Affairs; Staff writer, The New Yorker and The New Republic
ADAM COHEN Assistant Editor, The New York Times Editorial Board
MANUEL MEDRANO Legal Correspondent, ABC News
LIS WIEHL Legal Analyst, FOX News Channel; Co-Host, “The Radio Factor”

Co-sponsored by:
Harvard Law School & the Arts Initiative

Registration is recommended. Please register online at www.nycbar.org

2 Tuesday, 6:30 - 8 pm
WELCOMING RECEPTION FOR LAW STUDENTS AND RECENT LAW SCHOOL GRADUATES

Start the academic year off right by joining the Committee on Law Student Perspectives at its Annual Welcoming Reception. Hear about the exciting opportunities and programs offered by the Association, and the Committee’s resources for prospective and current law students. Please RSVP to Jodi Savage at jschairman@yahoo.com.

3 Wednesday, 6:30 - 8 pm
USING YOUR LAW DEGREE IN ACADEMIA

Have you ever thought about teaching at a law school, acting as a dean of students at a college, or working in some other area in academia, but weren’t sure what opportunities are available, how to get one of these positions, or what qualifications are needed?

This panel of attorneys who have made the transition into various areas of academia, including teaching, administration, and legal counsel, will answer these questions and more!

Moderator: TANYA GILL Litigation Management Attorney, DLA Piper US LLP

Speakers:
LENNI BENSON Associate Dean for Professional Development and Professor of Law, New York Law School
STEPHEN FRIEDMAN President, Pace University
KAREN GROSS President, Southern Vermont College
STEVEN POSKANZER President, State University of New York (New Paltz)
FREDERICK SCHAFFER General Counsel and Senior Vice Chancellor for Legal Affairs, City University of New York

Registration by September 28 is necessary. The fee, which includes light refreshments, is $15. Please register online at www.nycbar.org

4 Thursday, 9 – 6:30 p.m.
5 Friday, 10 – 5:30
SYMPOSIUM ON INTERNATIONAL LAW AND THE CONSTITUTION: TERMS OF ENGAGEMENT

This symposium, co-sponsored by the NYC Bar Association and a variety of organizations, brings together prominent scholars and advocates to grapple with central debates concerning the relationship between international law and the Constitution, and the implications of this relationship for human rights. For keynotes and faculty please email programs@law.fordham.edu or call 212-636-7295. Please note, this event is being held at Fordham Law School located at 140 W. 62nd Street.

9 Tuesday, Noon – 2 pm
PUBLIC AFFAIRS LUNCHEON

The Public Affairs Luncheon Series features speakers who address matters of public interest. The Luncheons provide a forum to enable members of the Association to network, socialize and discuss matters of interest. The Luncheons are open to the public.

Speaker:
GORDON G. CHANG Author, The Coming Collapse of China TOPIC: Is China Rising or Falling? And What Its Course Means for Us Luncheon Chair:

JEROME R. ROSENBERG Luncheon Vice-Chair:
EMILY CAMPBELL

Luncheon Vice-Chair:

Registration by October 5 is required to guarantee admission. Registrations received after October 5 are subject to availability. The fee, which includes lunch, is $30. Please register online at www.nycbar.org or on page 11.

www.nycbar.org
9 Tuesday, 6 pm

INTELLIGENT INTERVIEWING: IS THAT WHAT I REALLY LOOK LIKE?

Interviewing: a skill that can be learned and must be practiced! As you prepare for your next job search, come learn how to prepare for and practice your interviewing skills. In this program, you will be given the opportunity to learn about different interviewing styles and receive feedback (if you participate in the mock interviews).

Speakers:

LORI FREUDENBERGER
Manager of Legal Recruitment, Herrick, Feinstein LLP

MAUREEN M. REID
Principal, Maureen Reid LLC

STEPHEN ROSEN, Ph.D
Chairman, Celia Paul Associates/Premium Career
Management for Attorneys

JULIA HERR SMITH
President, Esquire Prep, LLC

Registration by October 4 is necessary. The fee, which includes refreshments, is $15. Please register online at www.nycbar.org

10 Wednesday, 6:45 - 9 pm

CLARENCE DARROW TAKING ON PREJUDICE, VIOLENCE, AND POLICE COMPLICITY IN THE JAZZ AGE

In 1925, Ossian Sweet, an African-American physician, bought a house in a Caucasian neighborhood. Soon after his arrival, a crowd gathered outside Doctor Sweet’s home, which was “guarded” by the police. Shots rang out from the Sweet home. A member of the crowd was killed. Doctor Sweet and all other adults inside his home were immediately arrested. At trial, Clarence Darrow defended Doctor Sweet and his family and friends from charges of murder.

Join us for a dramatic re-enactment of parts of the trial. Darrow, played by noted Darrow portrayer Henry Miller, argued that the Sweets acted in self-defense. Darrow confronted racial prejudice and segregation within and outside the courtroom. Professor Kevin Boyle will provide commentary about the historical and current significance of the Sweet trials.

Speakers:

KEVIN BOYLE
Author of Arc of Justice; Professor of History, The Ohio State University

HENRY G. MILLER
(as Clarence Darrow)
Clark, Gagliardi & Miller

HON. ROBERT LIPPMANN
(as Judge Frank Murphy)
Supreme Court Justice, New York County

JAMES J. GLENN
(as prosecutors Robert M. Toms and Lester F. Moll)
Sole Practitioner

LAWRENCE MENTZ
(as Inspector Norman Schuknecht)
Kaplan, von Ohlen & Massamillo, LLC

ALBERT FEUER
(Director and Adapter)
Law Offices of Albert Feuer

Dramatis Personae:

History, The Ohio State University

LAWRENCE MENTZ
Sole Practitioner

HON. ROBERT LIPPMANN
Supreme Court Justice, New York County

HON. SONDRA MILLER
Supreme Court Justice, New York County

JAMES J. GLENN
Sole Practitioner

ALBERT FEUER
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www.nycbar.org
Baritone Gary Giardina will present.
cooperation with the NYPD, parents, schools and other agencies to spot signs of trouble concerning youth.

Topics to be considered include: the level of privacy afforded to perpetrators & victims, whether such information is subject to discovery, whether a defense attorney has the right to see confidential e-mails transmitted to SUN, and how SUN can protect the rights of the falsely accused.

Speakers:
TAMARA STECKLER
Attorney-in-Charge, Legal Aid Society’s Juvenile Rights Practice

MICHAEL DOUGHERTY
Manhattan Deputy Administrative District Attorney

JILL GREENBAUM
Executive Director, Police Liaison Group, Inc.

Co-sponsored by:
Police Liaison Group, Inc.

Registration is recommended. Please register online at www.nycbar.org

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For further information, please contact Alex David, Director of the Office for Diversity, 212-382-6689 or adavid@nycbar.org

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ANY STATE · ANY NATION · ANYWHERE ·
ANY STATE · ANY NATION · ANYWHERE ·
MARK WINSTON GRIFFITH
Co-Director, Neighborhood Economic Development Advocacy Project

WALTER E. ZALENSKI
Weil, Gotshal & Manges LLP

Registration is recommended. Please rsvp to randi.singer@weil.com.

29 Monday, 6:30 pm

CONTENT CONVERGENCE: THE FIRST AMENDMENT IN THE STRANGE NEW WORLD OF ENTERTAINMENT AND INFORMATION

In the world pre-Borat, pre-Colbert, pre-reality TV, courts tried to draw a line between content broadly protected by the First Amendment (news, art, and documentaries) and commercial speech and entertainment fare, where celebrities and even ordinary citizens could control their images and collect their royalties. But what happens to that line in the new world of media where entertainment looks like news, news looks like entertainment, ordinary people become the involuntary casts of reality shows, and mainstream media builds their audiences and their brands with outsized coverage of Hollywood stars and sports heroes? This program will explore how First Amendment freedoms, privacy rights, and celebrities’ rights to protect and capitalize on their images are being reconfigured by the changing nature of media content.

Moderator:
RICHARD KURNIT
Frankfurt, Kurnit

Speakers:
HON. ALEX KOZINSKI
Judge, U.S. Court of Appeals for the Ninth Circuit

MARTY SINGER
Lavelle & Singer, Los Angeles

JOE MOLKO
Vice President, MTV Networks

SLADE METCALF
Hogan & Hartson (who represented 20th Century Fox in litigation brought over "Borat" by people who appeared in the film)

Registration is recommended. Please register online at www.nycbar.org

30 Tuesday, 6-8 pm

LOVE TRIANGLE: HOW THE MEDIA COVERS VIOLENCE AGAINST WOMEN

This program explores media coverage, and the impact of this cover-

age on the way women are viewed in society. Panelists will include media experts, journalists and counsel experienced in media relations.

Moderator:
LESLIE CROCKER SNYDER
New York State Supreme Court Judge (ret.); Kasowitz, Benson, Torres and Friedman LLP

HELEN BENEDICT
Professor
Graduate School of Journalism
Columbia University

October 2007 Registration Form

☐ Public Affairs Luncheon — 9 Tuesday
The fee, which includes lunch, is $25.00.

☐ Small Law Firm Luncheon: Growing Your Practice — 18 Thursday
The fee, which includes lunch, is $35.00 for members and $35.00 for non-members.

Name
Company
Address
City State Zip

Phone E-mail

Number of Reservations

Total Enclosed $

Card Number Expiration Date

Please charge to my ☐ Mastercard ☐ Visa ☐ American Express

Signature

Please return this form to: Meeting Services,
New York City Bar, 42 West 44th Street, New York, NY 10036-6689.
Please make checks payable to the Association of the Bar.
If registering for additional persons, duplicate this form.

NOMINATING COMMITTEE REQUEST

The Nominating Committee of the City Bar solicits your suggestions for candidates for the following offices and committee members for terms commencing May 2008: President; three Vice Presidents; Secretary; Treasurer; four Members of the Executive Committee (Class of 2012); five Members of the Audit Committee. Please submit your suggestions to any member of the Committee by October 10, 2007. The Nominating Committee members are:

E. Leo Milonas - Chair
Pillsbury Winthrop Shaw Pittman LLP
1540 Broadway
New York, NY 10036-4039
Phone: (212) 858-1615
Fax: (212) 858-1600
eleo.milonas@pillsburylaw.com

Preeta D. Bansal
Skadden Arps Slate Meagher & Flom LLP
4 Times Sq.
New York, NY 10036-6522
Phone: (212) 735-2198
Fax: (917) 777-2198
pbansal@skadden.com

Robert B. Fiske, Jr.
Davis Polk & Wardwell
450 Lexington Ave.
New York, NY 10017-3940
Phone: (212) 450-4090
Fax: (212) 450-5566
robert.fiske@dpw.com

Sara Moss
Estee Lauder Companies Inc.
767 Fifth Ave Fl 42
New York, NY 10153
Phone: (212) 572-3980
smoss@estee.com

Carlos G. Ortiz
Goya Foods Inc.
100 Seaview Dr.
Secaucus, NJ 07094
Phone: (201) 348-4900
Fax: (201) 348-9437
carlos.ortiz@goya.com

Milton L. Williams, Jr., Esq.
Time Inc.
1271 Ave of the Americas, Fl 3
New York, NY 10020-1393
Phone: (212) 522-1601
Fax: (212) 467-2941
milt_williams@timeinc.com

JENNIFER L. POZNER
Executive Director of Women in Media & News

For additional speakers check the website.

Registration is required. The fee, which includes light refreshments, is $35. Please register online at www.nycbar.org
FOURTH ANNUAL LAW PRACTICE MANAGEMENT SYMPOSIUM

CHARTING THE COURSE OF YOUR LAW PRACTICE

NOVEMBER 1, 2007, 8:30AM - 5:00PM

The real voyage of discovery consists, not in seeking new landscapes, but in having new eyes.
- Marcel Proust

How many of you have experienced that odd and exhilarating feeling of freedom and puzzlement when, on the morning after you decide to start your own law practice, you open your eyes and ask yourself, "What do I do now?" Some may just be at the "dream" stage of "I'd like to have my own firm one day". Others, with years of experience as solo or small firm practitioners may wish to take a fresh look at what works and what doesn't, as they seek to "turn the tide" of their practice, to make it more efficient or more rewarding.

Should I be a PC, LLC, LLP or a solo proprietorship? Where can I meet with my clients if I can't afford an office right away? What type of insurance would I need? How should I set up my employee payroll or bank accounts? Do I need a business plan or my own website? How should I structure my retainer agreements? How can I increase my client base without becoming completely stressed out?

On Thursday November 1, 2007, the Small Law Firm Center of the New York City Bar will help you "Chart the Course of Your Law Practice" at our 4th Annual Law Practice Management Symposium. The Symposium, which will be held at the House of the Association and is co-hosted with the New York Law Journal and co-sponsored by the Association of Legal Administrators, will last from 8:30 a.m. until 5:00 p.m. This year, our workshops, divided into a start-up track and a track for growing firms, will include information on office space, equipment and tech support for your practice. Learn how to obtain financing, prepare a business plan, create websites, market on the internet, protect the content of your emails to clients, safeguard your computer data and keep track of your clients and your bills. Become familiar with the legal, ethical and practical issues which arise when you draft Retainer Agreements. Expand your client base by joining the oldest and largest legal referral service in New York State. Finally, don't forget to network throughout the day by visiting our "Seasoned Solo" Drop-In Center, and attending our complimentary breakfast and lunch receptions as well as our new Wind-Down reception with live music at 4:00 p.m.

Most of the workshops will last 45 minutes and will provide participants with helpful handouts and checklists to use in their practice. At our panels, along with our guest practitioner-lecturers, exhibitors will offer valuable information and answer questions about practice management. The cost of attendance is $15 for New York City Bar members ($50 for non-members). To register, please visit our website at www.nycbar.org or email aroytberg@nycbar.org.

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<tr>
<th>TIME</th>
<th>TRACK 1: START-UP FIRMS</th>
<th>TRACK 2: GROWING FIRMS</th>
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<tr>
<td>8:30 - 9:00 am</td>
<td>Networking Breakfast</td>
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<td>9:00 - 9:45 am</td>
<td>Start-up Basics I</td>
<td>Employment Issues for Growing Firms</td>
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<td>Start-up Basics II</td>
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<td>11:00 - 12:00 pm</td>
<td>Plenary Session Retainer Agreements</td>
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<td>Business Plans for Lawyers</td>
<td>Legal Referral Service</td>
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<td>2:00 - 2:45 pm</td>
<td>Basics of Internet Client Development</td>
<td>Explore the Library and Small Law Firm Center Facilities</td>
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<td>Plenary Session Cultivating Work-Life Synergy for A Solo or Small Law Firm Practitioner</td>
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<td>4:00 - 5:00 pm</td>
<td>Wind-Down Reception with live music by the &quot;Mad Jazz Hatters&quot;</td>
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"Seasoned Solo" Drop-In Networking Center

Brainstorm about your practice with your colleagues in the "Seasoned Solo" Drop-In Center

**EXHIBITORS**

| Accurate Building Inspectors | IKON North America | Robert Half Legal |
| AdP                          | Inspired Professionals LLC | RPPOST |
| ALA Association of Legal Administrators | JPMorgan Chase Bank, N.A. | SOS Online Backup |
| American Land                | LexisNexis          | Staggs Legal Marketing Services |
| Bertholon-Rowland Corp.      | Marsh Affinity Group Services | Tabs3/PracticeMaster |
| EVault, Inc.                 | PC Law/L, Michael Cash & Associates | Thomson Findlay |
| HSBC Premier Corporate & Professional Legal Services Team | PSN Solutions, Inc. | US Small Business Administration |
|                             |                       | Westlaw |
If you decide to start your own law practice, you open your eyes and ask yourself, "What do I do now?" Some may just be at the "dream" stage of "I'd like to have my own firm one day". Others, with years of experience as solo or small firm practitioners may wish to take a fresh look at "The Open Door" at our 4th Annual Law Practice Management Symposium. The Symposium, which will be held at the House of the Association and will run from 9:00 a.m. to 4:30 p.m. This year, our workshops, divided into a start-up track and a track for growing firms, will include information on office space, equipment and tech support for your practice. Learn how to obtain financing, prepare a business plan, create websites, market on the internet, protect your legal, ethical and practical issues which arise when you draft Retainer Agreements. Expand your client base by joining the oldest and largest legal referral service in New York City. The cost of attendance is $15 for New York City Bar members ($50 for non-members). To register, please visit our website at www.nycbar.org or email aroytberg@nycbar.org.

WORKSHOPS

9:00 - 9:45 am: Setting Sail - Start-up Basics I
Explore entity choice, office space and equipment/supplies options, insurance needs and start up tech support for a newly born law practice.
Moderator: Mark Josephson, Murray & Josephson, CPAs, LLC
Panelists: Susan Billis, Bertholon-Rowland Corp.
Roy S. Lyons, Marsh Affinity Group Services
Bob Ripp, Ikon North America
Ian Jackson, Inspired Professionals LLC
Bud Palumbo, Westlaw

10:00 - 10:45 am: Financing the Voyage - Start Up Basics II
What options are available when you need money to launch your practice? How do you optimize your relationship with your bank to facilitate growth? Learn how to obtain letters of credit, credit lines and small business loans. Get financing advice for you and your clients from a financial institution and the US Small Business Administration.
Moderator: Mark Josephson, Murray & Josephson, CPAs, LLC
Panelists: Berk Saka, HSBC Legal Services Team
Martha Sofer, US Small Business Administration

11:00 - 12:00 pm: Plenary Session - Getting on Board with Retainer Agreements
In many situations, the law requires that the attorney-client relationship be memorialized in either a letter of engagement or a retainer agreement. We will discuss when the law requires letters of engagement or retainer agreements and the legal, ethical, and practical issues you should consider when you draft them.
Panelists: Deborah Rosenthal, Rosenthal Attorneys at Law, PC
Martin Feinberg, Former Chair, Joint Committee on Fee Disputes and Conciliation

1:00 - 1:45 pm: Building the Ship - Business Plans for Lawyers
Learn what it takes to write a Business Plan and why it is imperative to do it as a solo practitioner or a small firm. Review Business Plan Components - the Executive Summary, Firm Description, Market Analysis and Marketing Strategy, Competitors and Finance.
Moderator: Robin Kravitz, Law Office of Robin Kravitz
Panelists: Ira Davidson, Director, Pace University
Small Business Development Center
Thomas P. Hennessy, JPMorganChase Bank, N.A.
Paranjit Mahil, Sun Communications Group

2:00 - 2:45 pm: Winds of Change - Basics of Internet Client Development
As the Internet becomes an increasingly popular tool for client development, many law firms find themselves struggling to break into the market. This 30 minute session will answer questions like "How can the Internet help my practice?" and, in turn, "How can I help my website succeed?" By learning how to reach potential clients while they are making a decision about their legal future, firms can better leverage the medium to grow their practice.
Speaker: Clay Cazier, LexisNexis Martindale-Hubbell

3:00 - 4:00 pm: Plenary Session - Finding Your Coast of Utopia
Cultivating Work-Life Synergy for A Solo or Small Law Firm Practitioner
This workshop will help you build real skills and achieve personal and professional success by managing Time, Relationships and Tasks in your legal and personal experience.
Moderator: Eileen Travis, Director of Lawyer Assistance Program, New York City Bar
Speaker: Amle Herz, Legal Sanity Learning Programs
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*This program provides transitional credit for newly admitted attorneys.
OCTOBER 2007
CLE CALENDAR

ADVERTISING

HOT TOPICS IN ADVERTISING & MARKETING LAW – 2007

24 Wednesday, 9-12:30 p.m.

This annual program will cover recent cases and legislative and regulatory developments in the areas of general advertising, direct marketing, sweepstakes and contests and intellectual property, as well as an update on regulatory enforcement priorities.

Program Chair:
JEFFREY A. GREENBAUM
Frankfurt Kurnit Klein & Selz, PC

Faculty:
THOMAS A. COHN
Acting Regional Director
Federal Trade Commission
Northeast Region

EDWARD M. KABAK
Chief Legal Executive
Promotion Marketing Association, Inc.

MARK LERNER
Satterlee Stephens Burke & Burke LLP

JOSEPH LEWCAZ
Davis & Gilbert

MARLA TEPPER
General Counsel
New York City Department of Consumer Affairs

CLE credit:
3½ credits in professional practice. This program provides transitional credit for newly admitted attorneys.

Live Program (includes materials):
Member $205  Nonmember $315

CORPORATE COMPLIANCE 2007

23 Tuesday, 9- 11:30 a.m.

Two distinct and important topics will be addressed in this year's program: how small companies can create and maintain compliant programs that are well tailored to their size; and how companies of all kinds can conduct investigations ethically – an issue which has assumed unprecedented importance in light of a high profile case last year involving an investigation by a board of directors that went awry. This program will also include an update on recent developments relating to the law of corporate compliance programs.

Program Chair:
JEFFREY M. KAPLAN
Kaplan & Walker LLP

Faculty:
JAMES B. MINTZ
President
The James Mintz Group

RICHARD J. PALEY
General Counsel
Gary Financial, LLC

CLE credit:
3 credits total: 2½ professional practice & ½ ethics.

CORPORATE & SECURITIES

FOURTH ANNUAL INSTITUTE ON CORPORATE, SECURITIES & RELATED ASPECTS OF MERGERS & ACQUISITIONS

15 Monday, 8:50 a.m.- 8 p.m.
16 Tuesday, 8:30 a.m. - 6 p.m.

This Institute, which is a successor to the UCLA Law annual program on mergers and acquisitions, provides an in-depth analysis of significant corporate, securities, and related issues arising in mergers and acquisitions. Each session is structured to provide an analysis of important and current M&A issues by some of the world's most experienced M&A lawyers, judges, government officials, and investment bankers. On Monday evening, there will be an Introduction to Basic M&EqA

Legal Principles for attendees who are not experts in this field.

Program Chairs:
H. RODGIN COHEN
Sullivan & Cromwell LLP

SAMUEL C. THOMPSON, JR.
Professor of Law, Arthur Weiss Distinguished Faculty Scholar, and Director Center for the Study of Mergers and Acquisitions, The Pennsylvania State University Dickinson School of Law

See www.nycbar.org for additional faculty.

Co-Sponsored by the Center for the Study of Mergers and Acquisitions at Penn State's Dickinson School of Law

CLE credit:
1½ total credits, 15½ in professional practice, 3 in skills, 1 in ethics. This program provides transitional credit for newly admitted attorneys.

Live Program (includes materials):
Member $205  Nonmember $315

**This program is exempt from the Passport Series.

COMPLYING WITH THE SECURITIES LAWS: A PRACTICAL OVERVIEW OF THE RULES

26 Friday, 9-5 p.m.

A prominent faculty of securities lawyers will provide you with a thorough understanding of the Securities Act of 1933 and the Securities Exchange Act of 1934. You will learn what you need to know from both a business and legal perspective including how to identify and respond to securities law issues in light of the SEC’s new Securities Offering Reform and new rules on executive compensation, and avoid potential problems. A discussion of the regulatory framework, the SEC registration process, registration exemptions, liability for violations, periodic reporting under the Exchange Act, proxy solicitations and recent SEC and PCAOB initiatives will be included in the program.

Program Co-Chairs:
N. ADELE HOGAN
Linklater

NORMAN D. SLONAKER
Sidley Austin LLP

Faculty:
BRUCE C. BENNETT
Covington & Burling LLP

ANDREW BONZANI
Vice President, Assistant General Counsel & Assistant Secretary
International Business Machines Corporation

MARK GORDON
Wachtell, Lipton, Rosen & Katz

KENNETH L. JOSSELYN
Managing Director
Goldman Sachs & Co.

KEVIN KEogh
White & Case LLP

JAMES M. LURIE
Holland & Knight LLP

STUART S. MOSKOWITZ
Senior Counsel
International Business Machines Corporation

THOMAS G. SEAMAN
Senior Vice President & General Counsel
GoldenSource Corporation

CLE credit:
7½ credits total: 6½ professional practice & 1 ethics.

www.nycbar.org
This program provides transitional credit for newly admitted attorneys.

Live Program (includes materials):  
Member $335  Nonmember $475  

CRIMINAL  

ABCs OF FEDERAL CRIMINAL PRACTICE  

Wednesdays, October 10 & 17 6-9 p.m.  

This two-day program will provide essential information and practical advice for those representing clients facing federal criminal investigation or prosecution. The expert faculty will include federal judges, prosecutors and defense lawyers with years of experience practicing in the Southern and Eastern Districts of New York. The program will cover practice fundamentals including effective representation during investigative and pre-indictment stages; federal grand jury process; arrest and detention procedures; federal discovery and motion practice; development of defense theories; ethical considerations; trial techniques; and the present-sentence process and sentencing strategies post-Booker.

Program Chair:  
MELINDA SARAFA  
Sarafa Law LLC

Moderators:  
HON. NINA GERSHON  
U.S. District Judge, EDNY

Faculty:  
EYAN BARR  
Steptoe & Johnson

BARRY H. BERKE  
Kramer Levin Naftalis & Frankel LLP

SUSAN BRUNE  
Brune & Richard, LLP

LINDA L. FOWLE  
 Supervising U.S. Probation Officer, EDNY

DANIEL GITNER  
Lankler Siffert & Wohl LLP

SEAN HECKER  
Debevoise & Plimpton LLP

SARITA KEDIA  
Law Offices of Sarita Kedia

MEI LIN KWAN-GETT  
Willkie Farr & Gallagher LLP

GERALD LECOURT  
Gerald Lefcourt, P.C.

STEVEN F. REICH  
Manatt, Phelps & Phillips LLP

TIM TREANOR  
Assistant U.S. Attorney, SDNY

CLE credit for October 10: 3 credits total: 1½ skills, 1 professional practice, ½ ethics. This program provides transitional credit for newly admitted attorneys.

CLE credit for October 17: 3 credits total: 2 skills, 1 professional practice. This program provides transitional credit for newly admitted attorneys.

CLE credit for Both Days: 6 credits total: 3½ skills, 2 professional practice, ½ ethics. This program provides transitional credit for newly admitted attorneys.

Live Program (includes materials):  
Member $345  Nonmember $475

ETHICS  

NETWORKING ETHICALLY & EFFECTIVELY  

22 Monday, 6-9 p.m.  

Law firms grow and prosper when the firm's attorneys are skilled at networking. However, there are guidelines regarding advertising.

You will learn:  
■ how to network effectively and ethically, within

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*Source: International Legal Technology Association, 2006 Technology Survey

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the NYS advertising and solicitation ethics guidelines,
- the most effective approach for positive interactions with clients and prospects
- how to use referral networks effectively to enhance client service and gain new clients within the NYS ethics guidelines

Program Chair: CAROL SCHIRO GREENWALD, Ph.D.
MarketingPartners, Professional Services Marketing Consultant

Faculty: DOUGLAS COOPER
Managing Director
Ruskin, Moscou & Faltischek, P.C.

ROBERT DANZIGER
Danziger & Markhoff

ROBERT LEVIN
Editor-in-Chief & Publisher
The New York Enterprise Report

ROBERT J. SALZMAN
Deputy Counsel
Special Grievance for the Second & 11th Judicial Districts

CLE credit:
3 credits total: 1 ethics & 2 professional practice. This program provides transitional credit for newly admitted attorneys.

Live Program (includes materials):
Member $235  Nonmember $335

CURRENT ETHICAL ISSUES FOR IN-HOUSE COUNSEL & THOSE WHO ADVISE THEM

30 Tuesday, 6-9 p.m.

This ethics program is designed especially for in-house counsel and outside counsel advising their in-house clients. Using a stimulating hypothetical based on real-life experience, a panel of experts will review a variety of important professional responsibility issues that affect corporate counsel on a daily basis.

Topics to be discussed include:
- Understanding the "Corporate Miranda" warnings
- Special problems representing employees in internal investigations
- Corporate obligations toward employees during internal investigations
- Risks of waiver of the attorney-client privilege
- Gathering information, and new developments in the "no-contact" rule

Faculty: ROBERT J. ANELLO
Morvillo, Abramowitz, Grand, Jason, Anello & Bohrer, P.C.

SUSAN BROTMAN
Law Offices of Susan Brotman

RONALD MINKOFF
Frankfurt Kurnit Klein & Selz PC

MARYANN WONG
Office of General Counsel
Merrill Lynch

CLE credit:
3 credits in ethics. This program provides transitional credit for newly admitted attorneys.

Live Program (includes materials):
Member $235  Nonmember $345

WHAT YOU NEED TO KNOW NOW ABOUT LEGAL ETHICS

9 Tuesday, 6-9 p.m.

This legal ethics program, with an emphasis on pertinent New York rules, will select from these issues, possibly among others: The State Bar’s proposals to amend the New York Code and change its format; lessons from the Arthur Andersen, Martha Stewart, and Frank Quattrone prosecutions and the investigation of the Valerie Plame Leak; in-house lawyers wearing two (or more) hats: risks to privilege; professional relationships; metadata; the rules on paying expert and lay witnesses; introducing "the virtual client" or "client you didn’t know you had;" the New York twist on confidentiality; how to write a consent to future conflicts that will stand up if challenged; what does the "Hot Potato" rule say and not say; understanding positional conflicts; settlements conditioned on a lawyer’s agreement not to sue the defendant again: not allowed but why not; the hidden risks when lawyers do business with clients or have conflicting financial or other interests; informational conflicts; seven important conflict of interest rules; the no-contact rule in New York; multijurisdictional practice; the misdirected fax: what should you do with it? what if you send one?; offers from whistleblowers: trick or treat; law firm alliances with other service providers: the New York Rule; “can’t we just be nice to each other?“ - the perils of incivility; two can be trouble: useful protocols when representing joint clients; “just the facts please” — a checklist for internal corporate investigations; common interest arrangements explained, their benefits, and risks; canard to the Court: what you don’t say can hurt you or “Ellipsis at Your Own Risk;” malpractice, fiduciary duty, and conflicts: a misunderstood relationship and the New York rule in civil cases against lawyers; battle of the forms: when firms and clients contend for different conflict default rules; common interest arrangements a/k/a joint defense agreements: what should they say?

Program Instructor:
STEPHEN GILLERS
Emily Kempin Professor of Law
New York University School of Law

CLE credit:
3 credits in ethics. This program provides transitional credit for newly admitted attorneys.

Live Program:
Member $265  Non-Member $375

INSURANCE

CURRENT DEVELOPMENTS IN REINSURANCE LAW

11 Thursday, 9-12 p.m.

This program will cover the basics of indemnity reinsurance – how risk is transferred, the rights and obligations of cedent and reinsurer, and insolvency implications. A panel of experts will explore: current issues and developments in reinsurance disputes, focusing on recent issues of coverage, language and contract interpretation arising from reinsurance arbitration activity; transactions that employ reinsurance or reinsurance-like techniques in achieving business or risk-management objectives; trends in Cat bonds, including the new mortality-index bonds and securitization of triple-X and similar risks and issues affecting the reinsurance sector itself, including risk transfer/finite reinsurance issues and collateral requirements.

Co-Sponsored with The Insurance Federation of New York, Inc. (IFNY)

Program Chair: DANIEL RABINOWITZ
Sullivan & Cromwell LLP

Faculty:
RONALD GASS
ARIA-US Certified Arbitrator & Umpire
The Gass Company, Inc.

MARTIN D. HABER
Law Offices of Martin D. Haber

KEITH A. MCCUE
Assistant Vice President, Underwriting Assistant
General Counsel
Renaissance Reinsurance LTD.

ROGER M. MOAK
Certified Arbitrator & IFNY Chairman

MAXINE H. VERNE
Senior Vice President & General Counsel
SCOR Reinsurance Company

CLE Credit:
3 credits total: 2½ professional practice & ½ ethics. This program provides transitional credit for newly admitted attorneys.

Live Program (includes materials):
Member $215  Nonmember $325

INTELLECTUAL PROPERTY

KEY ISSUES IN TRADEMARK & COPYRIGHT LICENSING

4 Thursday, 6-9 p.m.

This program will follow the negotiation of a hypothetical license agreement from the initial negotiation through dispute resolution. Among the topics that the panel will address are the goals of a licensing agreement and how they may differ depending...
on the degree of maturity of the brand, the pros and cons of different types of licensees, the divisibility of copyrights, types of compensation arrangements, grants of rights for both traditional and new media, protection of trademarks through quality control provisions, whether licensed rights are or should be assignable, international licensing issues, and enforcement and alternative dispute resolution. An experienced panel of in-house and outside counsel will also bring you up-to-date on recent cases, pending legislation and other current legal issues in the licensing area.

Program Chair:
CLAUDIA RAY
O’Malveny & Myers LLP

Faculty:
JILL C. GREENWALD
Vice President for Legal Business Affairs
Corporate Legal Department
ABC Inc.

EDWARD H. ROSENTHAL
Frankfurt Kurnit Klein & Selz PC

REBECCA SANHUEZA
Assistant General Counsel
Time Inc.

Live Program (includes materials):
Member $205  Non-member $315

CLE credit:
3 credits total: 1½ skills & 1½ professional practice.
This program provides transitional credit for newly admitted attorneys.

LEGAL WRITING

THE MECHANICS OF GETTING PUBLISHED: A PRACTICAL GUIDE FOR THE WRITER AT LAW

10 Wednesday, 9-10:30 a.m.

CLE credit is not available for this program.

The practice of law is about zealously advocating for clients with both the spoken and written word. It is broadcasting that written word that has always seemed elusive to most practitioners. This program will provide lawyers with a roadmap for developing the tools to write articles for publication on any topic of their choice along with the logistical techniques needed to get those articles published in print or online. It will also highlight the reasons publishing serves to enhance one’s professional career and personal satisfaction. Participants will review a sample pitch and develop a step-by-step understanding of how to get an article published.

Program Instructor:
ARI KAPLAN
Ari Kaplan Advisors

Live Program (includes materials):
Member $65  Nonmember $85

LITIGATION

MASTERS IN TRIAL: A TRIAL DEMONSTRATION IN AN AUTOMOTIVE PRODUCT LIABILITY CASE FROM OPENING STATEMENTS THROUGH JURY DELIBERATION

19 Friday, 9-5 p.m.

The New York City Chapter of the American Board of Trial Advocates and the New York City Bar are proud to present the “Ultimate” in CLE programming in the Masters in Trial© Program. It’s advanced! It’s exclusive! It will feature some of New York’s and the Nation’s truly elite trial lawyers showing you the strategies, techniques and tools that make them winners in the courtroom. The mock trial demonstration is presented before a sitting justice and a real jury, complete with witnesses, experts and courtroom visuals. The jury will render a verdict in the case with real-time deliberations video-cast live into the seminar room.

Program Chair:
JOSEPH H. FARRELL
Conway, Farrell, Curtin & Kelly, P.C.

Faculty:
ROBERT L. CONASON
Gair, Gair, Conason, Steigman & Mackauf

RICHARD GODOSKY
Godosky & Gentile, P.C.

JAMES M. HARTMAN
Attorney at Law

JOHN L. HOLCOMB
Hill, Ward & Henderson, PA

GUNTHER H. KILSCH
McAloon & Friedman, P.C.

HON. DOUGLAS E. MCKEON
New York Supreme Court Justice
Appellate Term, 1st Judicial Department

HENRY G. MILLER
Clark Gagliardi & Miller

RICHARD J. O’KEEFFE
The O’Keeffe Law Firm
PRODUCT LIABILITY

LATEST DEVELOPMENTS IN PRODUCT LIABILITY LITIGATION: KEEPING AHEAD OF THE CURVE

2 Tuesday, 6-9 p.m.

Product liability litigation has seen significant developments in core areas in the last year, affecting such basic questions as how juries must now be instructed in punitive damages cases, the extent to which product liability claims can be preempted by federal regulation, the extent to which multiple product liability claims can be aggregated through class action or other procedures, and the ability to effect settlements of aggregated claims. Keeping up with these rapid changes and evaluating what they mean for your clients is a challenge. In this program, a panel of leading federal and state judges, plaintiff and defense litigators and law professors will discuss the most recent cutting edge developments in product liability litigation:

I. Federal Preemption. To what extent can federal regulations preempt state law product liability claims? Recent case decisions and ongoing litigation in the areas such as pharmaceuticals, tobacco, automobiles, aircraft and methyl tertiary butyl ether ("MTBE") will be addressed in the context of FDA, FTC, DOT, FAA, EPA and other regulatory agency actions and positions.

II. Aggregate Litigation. How far will courts go toward certifying class actions related to product liability claims after Castano and Engle? What sorts of consumer product economic loss claims can be certified? How do you settle competing federal and state class actions? What are the legal, ethical and practical guideposts for effecting "inventory" settlements on behalf of large numbers of products liability claimants? How are state and federal courts coordinating related MDL and State coordinated actions?

III. The Supreme Court And Punitive Damages. Counsel who argued the Philip Morris v. Williams and BMW v. Gore cases will lead a discussion concerning (a) what sort of jury instructions are now required for punitive damage claims to protect against punishment for actions toward non-parties; (b) will the BMW and State Farm ratio apply to bodily injury punitive damages claims; and (c) what is the likely next Supreme Court punitive damages case?

Program Chair:
DAVID W. ICHEL
Simpson Thacher & Bartlett LLP
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RESIDENTIAL CLOSINGS: SOUP TO NUTS

4 Wednesday, 6-9 p.m.

The first session of this program was on September 24th, 6-9 p.m.

This program will cover the purchase and sale of cooperatives, condominiums and houses. The panelists will review such general matters as forms of ownership, pre-contract due diligence, transfer and mortgage taxes, title insurance and lien searches, and the contract of sale, including a review of the loan contingency clause and other most frequently negotiated contract provisions; and discuss a number of commonly encountered problems (such as combined apartments) and “how to” close a transaction.

Program Chair:
MINDY H. STERN
Schoeman Updike & Kaufman, LLP

CLE Credit:
3 credits total: 2½ professional practice & ½ ethics. This program provides transitional credit for newly admitted attorneys.

Faculty:
KRISTIN V. BELLOUNY
Senior Vice President & Senior Underwriting Counsel
Fidelity National Title Insurance Company

DAVID L. BERKEY
Gallet Dreyer & Berkey, LLP

MEILYN MITZNER
Senior Vice President and Chief Underwriting Counsel
Commonwealth Land Title Insurance Company

RICHARD A. NARDI
Loeb & Loeb LLP

REASONABLE ACCOMMODATION REQUESTS FROM PERSONS WITH DISABILITIES IN COOPS & CONDOS: PRACTICAL CONSIDERATIONS

18 Thursday, 6-9 p.m.

This program is designed for attorneys and advocates who represent persons with disabilities in need of reasonable accommodations, as well as for attorneys who represent condominiums and cooperatives. The program will cover: defining disability, and the legal requirements that address reasonable accommodations in the housing context; best practices for boards of directors considering requests for reasonable accommodations; the role of the New York City Commission on Human Rights concerning reasonable accommodation requests; a review of relevant case law; emotional support animals; and mediation as a process for resolving reasonable accommodation issues.

Program Chair:
MINDY H. STERN
Schoeman Updike & Kaufman, LLP

Faculty:
NANCY ANN CONNERY
Schoeman Updike & Kaufman LLP

LORI HULER GLICK
Stribling & Associates, Ltd.

PAULA K. KONIKOFF, JD
MAI

MATTHEW LEEDS
Bryan Cave LLP

SURVIVING THE RESIDENTIAL REAL ESTATE CLOSING – BETTER THAN REALITY TV

29 Monday, 6-9 p.m.

Did you ever wonder what actually happens at a residential real estate closing? Or, have you participated in a few and now want to become more adept at anticipating and preventing problems before closing, or troubleshooting at the closing table when unanticipated situations arise? Are you worried about representing yourself or a family member or friend at an upcoming closing? Here is your chance to “eavesdrop” on mock coop and house closings conducted by seasoned practitioners. Bring your sense of humor to this interactive yet entertaining program.

Program Chair:
MINDY H. STERN
Schoeman Updike & Kaufman, LLP

Faculty:
DALE J. DEGENSHHEIN
Cantor Epstein & Degenstein LLP

JOHN HERRION
Counsel
United Spinal Association

TED FINKELSTEIN
Director of Disability Access
New York City Commission on Human Rights

ABIGAIL PESSEN
Mediator & Arbitrator
Mediation Services

DARRYL VERNON
Vernon & Ginsburg, LLP

CLE credit:
3 credits in professional practice. This program provides transitional credit for newly admitted attorneys.
Registration
Advance registration is advised for live programs & video replays. An additional fee of $25 will be charged for registrations received later than 3:00 p.m. one business day prior to the program. For more information or to register for a program visit our website at www.nycbar.org, call (212) 382-6663, fax (212) 869-4451 or mail your registration to: City Bar Center for CLE, New York City Bar, 42 West 44th Street, New York, NY 10036.

Cancellations & Refunds
For live programs and video replays, refunds and program credits are available provided cancellation is made in writing and received by the City Bar Center prior to the program. Refunds and program credits are not available for the purchase of tapes, CDs, DVDs, course materials or online programs. Scholarships are available. Please call (212) 382-6663 for an application.

SIXTEEN HOUR BRIDGE-THE-GAP
16 HOUR BRIDGE-THE-GAP/PART 2
3 Wednesday, 9-5 p.m.

Earn all of your annual CLE credits while obtaining invaluable knowledge on a variety of areas. When you complete your second day of Bridge-the-Gap programs, you fulfill a full year’s credit requirements for those that are newly admitted, while providing invaluable information and credits for more experienced attorneys. A skilled faculty will guide you through the day-to-day practice of law and cover topics of interest to all attorneys, including legal ethics. For more information, including faculty, please visit www.nycbar.org.

TAX & ACCOUNTING
WEALTH OPTIMIZED: TAX-AWARE INVESTING & ESTATE PLANNING
11 Thursday, 6-8:45 pm

Tax awareness is critical both for personal investing, and helping clients with trusts and estate planning. This program is a must for lawyers with personal wealth or non-estate lawyers looking to advise clients on tax awareness. Understand the role and effect of taxes on individual portfolios and investment strategy. Learn practical implementation tips for tax-aware techniques and choosing tax-aware advisors. Attendees will learn the basics of tax planning and wealth transfer as well as the advantages of non-traditional trust structures such as GRATs, IDGTs, QPRTs, CRT, CLAT, and GST. The program will also cover how to counsel clients on concentrated stock positions or portfolios of low-basis stock.

Program Chair & Moderator: CHARLES GOLDBLUM, CFA
Hurley Capital, LLC

Faculty:
DOUGLAS A. ROGERS, CFA
Chief Investment Officer and Officer and Managing Director
CTC Consulting, Inc.

DAVID TOBIN, JD, AEP, CIMA
Vice President and Senior Private Client Advisor
Wealth Advisory Services

Co-Sponsored with the New York Society of Security Analysts (NYSSA), The Private Wealth Committee

CLE credit: 3 credits in professional practice. This program provides transitional credit for newly admitted attorneys.

Live Program (includes materials): Member $215 Non-member $325

SIXTEEN HOUR BRIDGE-THE-GAP
16 HOUR BRIDGE-THE-GAP/PART 2
3 Wednesday, 9-5 p.m.

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Program Chair & Moderator: CHARLES GOLDBLUM, CFA
Hurley Capital, LLC

Faculty:
DOUGLAS A. ROGERS, CFA
Chief Investment Officer and Officer and Managing Director
CTC Consulting, Inc.

DAVID TOBIN, JD, AEP, CIMA
Vice President and Senior Private Client Advisor
Wealth Advisory Services

Co-Sponsored with the New York Society of Security Analysts (NYSSA), The Private Wealth Committee

CLE credit: 3 credits in professional practice. This program provides transitional credit for newly admitted attorneys.

Live Program (includes materials): Member $215 Non-member $325

Cancellations & Refunds
For live programs and video replays, refunds and program credits are available provided cancellation is made in writing and received by the City Bar Center prior to the program. A $35 administrative fee will be charged for all refunds. The cancellation fee will be deducted directly from the refund. Program credits must be used within one year of the original program date. Cancellations must be in writing, faxed to the City Bar Center, (212) 869-4451.

Refunds and program credits are not available for the purchase of tapes, CDs, DVDs, course materials or online programs. Scholarships are available. Please call (212) 382-6663 for an application.

Certificates for attending a program are given out and signed by a CLE staff member at the end of the program. You are responsible for keeping a copy of the CLE certificate for your own records. An administrative fee of $10 will be charged for replacement CLE certificates.
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<td>- RESIDENTIAL REAL ESTATE CLOSINGS: SOUP TO NUTS</td>
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<td>- WHAT YOU NEED TO KNOW NOW ABOUT LEGAL ETHICS</td>
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<td>[ ] FOURTH ANNUAL INSTITUTE ON CORPORATE, SECURITIES &amp; RELATED ASPECTS OF MERGERS &amp; ACQUISITIONS</td>
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ALL REGISTRATIONS MUST BE PREPAID BY EITHER CREDIT CARD OR A CHECK MADE PAYABLE TO: CITY BAR CENTER FOR CLE OR NEW YORK CITY BAR
Art Law
Comments submitted to the Mayor’s Office of Film, Theatre & Broadcasting expressing opposition to the Proposed Rules relating to the issuing of permits. The Rules would require permits for a broad range of photographic activity on City property such as parks and streets, and would subject a significant number of artists using hand-held cameras and a single tripod to City regulation. As drafted, the letter argues, the proposed Rules would have a chilling effect on artistic expression and raise constitutional issues.

Civil Rights
Letter to Congress expressing opposition to H.R. 3138, which would enable broad surveillance programs to go forward in the complete absence of judicial review. The letter underscores the importance of having meaningful judicial checks on the exercise of executive power when such fundamental rights are at issue, and urges Congress not support any legislation that would disrupt the important balance between individual liberties and national security in the absence of a compelling demonstration of need.

Drugs and the Law
Report supporting A.4867 which would permit the manufacture, delivery, possession, and use of marihuana for medical purposes. The Bill would allow critically ill medical patients in the State of New York to use marihuana as recommended by their physicians as medically beneficial and would remove the threat of a state criminal action against people using marihuana exclusively for medical purposes.

Futures and Derivatives Regulation
Comments submitted to the National Association of Securities Dealers expressing concerns with Notice to Members 07-27, Member Private Offerings, including the scope of the proposal, the general terms of the proposal and the potential application to privately offered commodity funds.

Immigration and Nationality Law
Letter to Congress expressing concerns with several provisions of the Border Security and Immigration Reform Act of 2007 including that: it negatively alters law and policy related to family-related immigration; it does not provide a path to permanent residence for the future flow of essential and skilled workers; it does not ease the current problem with backlogs; and it creates a legalization process that is unduly long and onerous.

Judicial Selection, Task Force
Amicus Brief: New York State Board of Elections v. Lopez Torres (U.S. Supreme Court, July 2007). The brief argues that the Second Circuit decision, finding unconstitutional New York’s system of nominating Supreme Court Justices should be affirmed. The brief notes that the Association favors a commission-based appointive system for state court judges in place of elections, which would require a constitutional amendment and in the interim supports a legislatively reformed party convention system over a direct primary to curb the abuses of the current judicial selection system. While the Association opposes a direct, contested primary elections for judicial candidates because of the deleterious effects that contested primary elections could have upon the administration of justice and upon the state judiciary the brief argues that the lower courts appropriately utilized this approach as an interim remedy.

Labor and Employment Law
Letter to Congress expressing support for H.R. 1540, the Civil Rights Tax Relief Act of 2007 (CRTRA). The current Internal Revenue Code substantially increases the cost of settlement for employers, while simultaneously reducing recoveries for employees. The CRTRA by amending the Internal Revenue Service Tax Code, would eliminate non-economic damages from the definition of “gross income”; and permit income-averaging for lump-sum back-pay settlements or awards, making it easier for both employers and employees to settle the vast majority of workplace disputes.

Sex and Law
Letter to Governor Spitzer regarding the anti-human trafficking legislation A.8679/S.5902 which passed both the Senate and Assembly. While urging the Governor to sign the legislation the letter also notes that improvements in the law are still necessary for New York to provide full protection to trafficking victims including: providing a private right of action to trafficking victims; protecting victims of sex trafficking from being prosecuted for prostitution; including a catchall provision in the definition of labor trafficking; and adding an aggravated labor trafficking provision, whereby the use of physical force, or threats of physical injury, would elevate the penalty from a Class D to a Class C felony.

COMMITTEE REPORTS — OCTOBER 2007

NEW YORK CITY BAR

Forty-Fourth Street Notes
October 2007

PERIODICALS